

**EASTERN CAPE  
GAMBLING AND BETTING BOARD**

**APPLICATION FOR BOOKMAKER LICENCE**

SIGNATURE: \_\_\_\_\_

**APPLICATION INSTRUCTIONS**

1. This application form is to be completed by any person who wishes to apply for a licence as a bookmaker.
2. Read this entire form carefully before answering any of the questions. Any incomplete or inaccurate answer may result in no action being taken on the application, or denial of the application.
3. Except for the Personal History Disclosure Forms and Business History Disclosure Forms and annexures thereto which must accompany this application, each application shall consist of the original master copy of this form and 12 (twelve) numbered copies of this completed application form and annexures thereto. The original master copy of the Personal History Disclosure Forms and Business History Disclosure Forms shall be submitted with one 1 (one) numbered copy of each original. In addition, three loose-leaf copies of the application must be submitted for public inspection, with the appropriate confidential information removed. The application will be deemed incomplete and will not be processed if the relevant copies are not included.
4. For the purposes of this application, “enterprise” is defined to include any corporation, company, association, operation, firm partnership, trust or other form of business association, and any sole proprietor or natural person. Applicants should however note that a licence may only be awarded to a company registered in terms of the Companies Act, 1973 (Act No. 61 of 1973) or the Close Corporations Act, 1984 (Act No. 69 of 1984).
5. Answer every question fully and truthfully. Do not leave any blank spaces. If a question does not apply to you, indicate N/A (for “Not Applicable”) in response to that question. If there is nothing to disclose about a particular question, write “None”.
6. All entries on this form, except signatures, must be typed or block-printed in black ink. If your application is not legible, it will not be accepted.
7. Sign each page of this form in the space provided, once you have checked your answers and are sure they are complete and correct.
8. If you need additional space to answer any question(s), please use the blank page provided at the end of this form. Be sure to indicate the number(s) of the question(s) you are answering if you use this additional space.
9. Sign the Statement of Truth and all Release Authorisation Forms in the presence of a notary public and have both your signatures notarised.

SIGNATURE: \_\_\_\_\_

**IMPORTANT NOTICE**

1. You must immediately notify the Eastern Cape Gambling and Betting Board of any change of address. All notices regarding this application will be sent to the address that you provide on this form.
2. Any person who applies to the Board for a licence as a bookmaker is required to submit to searches without a warrant when present on licensed premises pursuant to section 76(1) of the Eastern Cape Gambling and Betting Act, 1997 (Act No. 5 of 1997) (“the Act”).
3. Information supplied to the Board, or otherwise obtained by it, is confidential and may not be revealed except, in the course of administrating the Act, upon the lawful order of a court of competent jurisdiction. Nevertheless, an applicant or a licence holder waives any liability of the Eastern Cape Gambling and Betting Board, its instrumentalities and its agents, for any damages resulting from any disclosure or publication in any manner, other than a wilfully unlawful disclosure or publication, pursuant to section 76 of the Eastern Cape Gambling and Betting Act.

SIGNATURE: \_\_\_\_\_

## BOOKMAKER LICENCE APPLICATION

I, \_\_\_\_\_ on behalf of the applicant hereby apply in terms of the Gambling and Betting Act, 1997 (Eastern Cape), for a bookmaker licence and confirm being aware of and understanding the provisions of the said Act and Regulations, insofar as they pertain to this application.

Full name of applicant \_\_\_\_\_

\_\_\_\_\_

Physical business address \_\_\_\_\_

\_\_\_\_\_

Postal address \_\_\_\_\_

\_\_\_\_\_

Telephone number \_\_\_\_\_

Telefax number \_\_\_\_\_

E-Mail address \_\_\_\_\_

For and on behalf of the applicant

\_\_\_\_\_  
Date

\_\_\_\_\_  
(who warrants his authority)

\_\_\_\_\_  
Name (Print)

\_\_\_\_\_  
Capacity of signatory

SIGNATURE: \_\_\_\_\_

**APPLICATION FOR BOOKMAKER LICENCE**

Provide current licence number (if applicable):

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Provide previous licence numbers (if applicable):

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NAME AND REGISTRATION NUMBER OF ENTERPRISE

<hr/> <p>*Name as appears on or in the certificate of incorporation, memorandum, articles, founding statement or other official document. DO NOT ABBREVIATE</p>
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TRADE NAME(S)

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Person to be contacted with reference to these forms:

NAME	
TITLE	TELEPHONE NO. (INCLUDE AREA CODE)

SIGNATURE: \_\_\_\_\_

The principal business address of the enterprise:

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
MAILING ADDRESS (IF DIFFERENT)	CITY	PROVINCE/STATE	POSTAL CODE

The addresses from which the enterprise is or will be conducting any business and which the applicant requires to be licenced:

**ADDRESS 1**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

SIGNATURE: \_\_\_\_\_

**ADDRESS 2**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

**ADDRESS 3**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

SIGNATURE: \_\_\_\_\_

**ADDRESS 4**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

**ADDRESS 5**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

SIGNATURE: \_\_\_\_\_

**ADDRESS 6**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

**ADDRESS 7**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

SIGNATURE: \_\_\_\_\_

**ADDRESS 8**

STREET LOCATION (NUMBERS/STREET)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO. OF THIS LOCATION (INCLUDE AREA CODE)		
NAME OF OWNER OF PREMISES AND DETAILS			
NAMES AND DETAILS OF INTERMEDIATE LESSORS			

**ITEM 1. OTHER NAMES AND ADDRESSES OF THE ENTERPRISE**

- A. List all other names and registration numbers under which the enterprise has conducted business, and give approximate periods of time during which such names were in use.

NAME	REGISTRATION NUMBER	FROM	TO

- B. State all other addresses currently occupied/held by the enterprise and all addresses from which the enterprise is currently conducting business.

NUMBER AND STREET	CITY	PROVINCE	POSTAL CODE

SIGNATURE: \_\_\_\_\_

- C. State all addresses, other than those listed above, which the enterprise occupied/held or from which it was conducting business during the last ten (10) years, and give the approximate periods of time during which such addresses were occupied/held.

NUMBER AND STREET	CITY	PROVINCE	POSTAL CODE	FROM	TO

**ITEM 2. DESCRIPTION OF ENTERPRISE**

- A. Specify the business form of this enterprise (i.e. Limited Company, Close Corporation).

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- B. Submit a copy of the certificate of incorporation and all amendments, the charter, by-laws, memorandum, articles, founding statement or other basic documentation of the enterprise, if any. This document must be labelled ITEM 2-B.

**ITEM 3. DESCRIPTION OF PRESENT BUSINESS**

On an attachment page labelled ITEM 3, describe the business done and intended to be done by the enterprise and its parent, holding, subsidiary and intermediary companies and the general development of that business during the last five (5) years or the shorter period the enterprise or its parent, subsidiary and intermediary companies may have been engaged in business. This description must include information on matters such as the following:

- A. Competitive conditions in the industry or industries involved and the competitive position of the enterprise, if known.
- B. The principal goods and services provided by the enterprise and its parent, intermediary, and subsidiary companies, the principal markets for those products or services and the methods of distribution.
- C. The importance to the business and the duration and effect of all concessions held.

SIGNATURE: \_\_\_\_\_

**ITEM 4. DESCRIPTION OF FORMER BUSINESS**

On an attachment page labelled ITEM 4, describe any former business not listed in response to ITEM 3, which the enterprise or any parent, intermediary or subsidiary company engaged in during the last ten-year period, and the reasons for the cessation of such business. Also indicate the approximate period of time during which each such business was conducted.

**ITEM 5. STOCK DESCRIPTION (COMPANIES)**

Describe the nature, type, terms, conditions, rights and privileges of all classes of voting, non-voting and other stock issued, or to be issued, by the company, including the number of shares of each class of stock authorised or to be authorised and the number of shares of each class of stock outstanding (i.e. not held by or on behalf of the issuer) as on this date.

ORDINARY SHARES	PAR VALUE	NUMBER
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

PREFERENCE SHARES	PAR VALUE	NUMBER
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

OTHER (INCLUDING OPTIONS)		
_____	_____	_____
_____	_____	_____
_____	_____	_____

SIGNATURE: \_\_\_\_\_

**VOTING RIGHTS**

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If the rights of holders of any class of stock may be modified other than by a vote, indicate this and explain briefly.

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**ITEM 6. NON-VOTING SHAREHOLDERS**

Furnish the information called for in the table below, in respect of each person or entity holding or having a beneficial interest in any non-voting stock issued by the company.

NAME AND ADDRESS	DATE OF BIRTH	CLASS OF NON-VOTING STOCK HELD	NUMBER OF SHARES HELD	PERCENTAGE OF OUTSTANDING VOTING STOCK

\* This information must be provided as on a date no more than sixty (60) days prior to the date of this application.

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 6.

SIGNATURE: \_\_\_\_\_

**ITEM 7. QUALIFIERS**

Please indicate all persons or entities in your enterprise that correspond to the sub-items listed below. If any of sub-items A to K do not apply, please indicate N/A (for “Not applicable”) directly on this form.

**NOTE 1: A PERSONAL HISTORY DISCLOSURE FORM (FORM LA 2) MUST BE COMPLETED BY EVERY PERSON NOTED IN SUB-ITEMS A TO C.**

**NOTE 2: A PERSONAL DISCLOSURE FORM MUST BE COMPLETED BY EVERY PERSON NOTED IN SUB-ITEM D.**

**NOTE 3: A PERSONAL HISTORY DISCLOSURE FORM OR BUSINESS HISTORY DISCLOSURE FORM (FORM LA 3) MUST IN ADDITION BE COMPLETED BY EVERY PERSON LISTED IN SUB-ITEM E IF SUCH PERSON DOES NOT FALL INTO SUB-ITEMS A TO D.**

**NOTE 4: THE BOARD MAY, AT ITS DISCRETION ORDER ADDITIONAL PERSONS ASSOCIATED WITH THE ENTERPRISE TO FILE THE APPROPRIATE DISCLOSURE FORM IF IT APPEARS THAT SUCH PERSON SHOULD BE QUALIFIED IN ORDER TO CARRY INTO EFFECT THE PURPOSES OF THE EASTERN CAPE GAMBLING AND BETTING ACT AND THE REGULATIONS THERETO.**

**NOTE 5: IT IS NOT NECESSARY FOR PERSONS REFERRED TO IN NOTE 2 WHO ALSO FALL INTO NOTE 3 TO COMPLETE TWO SETS OF FORMS.**

**NOTE 6: WHERE APPLICATIONS FOR REGISTRATION OF KEY PERSONS OR GAMBLING EMPLOYEES ACCOMPANY THIS APPLICATION -**

- (A) PERSONS SET OUT IN SUB-ITEMS A TO C SHALL APPLY FOR REGISTRATION AS KEY PERSONS.**
- (B) PERSONS SET OUT IN SUB-ITEM D SHALL APPLY FOR REGISTRATION AS GAMBLING EMPLOYEES.**
- (C) IT SHALL NOT BE NECESSARY FOR PERSONS REGISTERED AS KEY PERSONS TO SIMULTANEOUSLY BE REGISTERED AS GAMBLING EMPLOYEES.**
- (D) AN APPLICANT FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE SHALL ONLY NEED TO FILL IN ONE PERSONAL HISTORY APPLICATION FORM I.E. THAT ACCOMPANYING HIS OR HER APPLICATION FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE.**

**NOTE 7: WHERE APPLICATIONS FOR REGISTRATION AS KEY PERSONS OR GAMBLING EMPLOYEES BY PERSONS REFERRED TO IN SUB-ITEMS A TO D DO NOT ACCOMPANY THIS APPLICATION, EACH APPLICANT FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE SHALL SUBMIT A SECOND PERSONAL HISTORY DISCLOSURE FORM WITH HIS OR HER APPLICATION FOR SUCH REGISTRATION.**

SIGNATURE: \_\_\_\_\_



**ITEM 8. OUTLINE OF OWNERSHIP**

If item 7 notes any enterprise as holding any stock, holding a partnership interest or holding any other ownership interest in the applicant, prepare a flowchart which illustrates the fully diluted ownership of the applicant as an attachment labelled ITEM 8. List all parent, holding or intermediary companies so that the flowchart reflects the stock, partnership or ownership interest as being held by a natural person(s) and not another enterprise(s). If the ultimate parent company is publicly traded and no natural person controls more than 5% of the publicly traded stock, indicate this fact in a footnote to the flowchart.

**ITEM 9. FORMER OFFICERS AND DIRECTORS**

Furnish the information indicated below for each person not listed in response to item 7, who has held position as an officer/director or trustee of the enterprise or in the case of a close corporation, a member of such close corporation, over the last ten (10) years:

NAME	DATE OF BIRTH	LAST KNOWN ADDRESS	POSITION AND DATES HELD	REASON FOR LEAVING

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 9.

SIGNATURE: \_\_\_\_\_





**ITEM 12. BONUSES, PROFIT SHARING, RETIREMENT, DEFERRED REMUNERATION AND SIMILAR PLANS**

On an attachment page labelled ITEM 12, describe all existing bonuses, profit sharing, pension, retirement, deferred remuneration and similar plans, or those to be created by the enterprise. The description must include, but not be limited to:

- A. Title of name of the plan.
- B. Identity and address of the trustee of the plan or the person administering such plan.
- C. Material features of the plan.
- D. Methods of financing the plan.
- E. Identity of each class of person who is participating or will participate in the plan.
- F. Approximate number of persons in each class.
- G. Amounts distributed under the plan to each class of person during the last fiscal year, if the plan was in effect during that period of time.

**ITEM 13(i). SECURITIES OPTIONS\* (CORPORATE ENTITIES)**

Describe in detail any options existing or to be created in respect of securities issued by the corporation. The description must include, but is not limited to, the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years, the terms under which option holders became, or will become, entitled to exercise the options, and when such options expire.

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\* *For the purposes of this application, "option" means right, warrant or option to subscribe to or purchase any securities issued by the corporate entity.*

**ITEM 13(ii)**

Identify all persons holding the options described in item 13(i) and include the market value of the options at the time of issue.

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SIGNATURE: \_\_\_\_\_

**ITEM 14. DESCRIPTION OF LONG-TERM DEBT**

Describe the nature and terms and conditions of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness issued or executed (including loans made by shareholders), or to be issued or executed by the enterprise, which mature more than one year from the date of issue or which, according to their terms, are renewable for a period of more than one (1) year from the date of issue.

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Should you require additional space, attach a separate sheet in the same form and label it ITEM 14.

**ITEM 15. HOLDERS OF LONG-TERM DEBT**

Furnish the information indicated in the table below for each person or entity holding any outstanding bonds, loans, mortgages, trust deeds, notes debentures or other forms of indebtedness executed or issued by the enterprise, which mature more than one (1) year from the date of issue or which, according to their terms, are renewable for a period of more than one (1) year from the date of issue.

NAME AND ADDRESS	DATE OF BIRTH	TYPE AND CLASS OF DEBT INSTRUMENT HELD	AMOUNT IN RANDES OF DEBT HELD (Both original amount and current balance)

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 15.

SIGNATURE: \_\_\_\_\_

**ITEM 16. OTHER INDEBTEDNESS AND SECURITY DEVICES**

Describe the nature, type and terms and conditions of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilised by the enterprise, other than those described in response to items 14 and 15.

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**ITEM 17. HOLDERS OF INDEBTEDNESS**

Furnish the information indicated in the table below in respect of each holder of any outstanding loan, mortgage, trust deed, pledge or other evidence of indebtedness or security device described in response to Item 16.

NAME AND ADDRESS	DATE OF BIRTH	TYPE OF DEBT INSTRUMENT HELD	AMOUNT IN RANDES OF DEBT HELD (Both original amount and current balance)

Should you required additional space, attach a separate sheet in the same tabular format and label it ITEM 17.

SIGNATURE: \_\_\_\_\_

**ITEM 18. FINANCIAL INSTITUTIONS**

Furnish the information indicated in the table below in respect of each bank, savings and loan association or other financial institution, whether domestic or foreign, in which the enterprise has or has had an account over the last ten (10) years, regardless of whether such account was held in the name of the enterprise or a nominee of the enterprise or was otherwise under the direct or indirect control of the enterprise.

NAME AND ADDRESS	TYPE OF ACCOUNT(S)	ACCOUNT NUMBER	PERIOD OF TIME ACCOUNT HELD (FROM/TO)

Should you require additional space, attach a separate sheet in the same tabular form and label it ITEM 18.

**ITEM 19. CONTRACTS AND SUPPLIERS**

Furnish the information indicated in the table below in respect of all persons with whom the enterprise has contracts or agreements valued at R50 000 or more, or from whom the enterprise has received R50 000 or more in goods or services in the past six (6) months.

Employment contracts need only be listed if, according to their terms, they exceed one year's duration.

NAME	ADDRESS	NATURE OF CONTRACT OR GOODS OR SERVICES SUPPLIED

Should you require additional space, attach a separate sheet in the same tabular form and label it ITEM 19.

SIGNATURE: \_\_\_\_\_

**ITEM 20. STOCK HELD BY ENTERPRISE**

Furnish the information indicated in the table below in respect of each company in which the enterprise holds stock:

NAME AND ADDRESS OF COMPANY	TYPE OF STOCK HELD	PURCHASE PRICE PER SHARE	NUMBER OF SHARES HELD	PERCENTAGE OF OWNERSHIP EXCEEDING 5%

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 20.

**ITEM 21. INSIDER TRANSACTION (CORPORATE ENTITY)**

Furnish the information indicated in the table below for each change, within the last five (5) years preceding this application, in the beneficial ownership of the equity securities of the corporate entity on the part of any person who is indirectly or directly a beneficial owner of more than 5% of any class of equity security of the corporation, or who is, or was, a director or an official of the corporation within that period. [Include changes resulting from (a) a gift, (b) a purchase, (c) a sale, (d) the exercise of an option to purchase, (e) exercise of an option to sell, or (f) another transaction.]

DATE OF TRANSACTION	NATURE OF TRANSACTION	PARTIES TO TRANSACTION (INCLUDE POSITIONS)	NUMBER OF SECURITIES INVOLVED

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 21.

SIGNATURE: \_\_\_\_\_

**ITEM 22. CRIMINAL HISTORY**

The next question requests information about any offences the enterprise may have committed or charges against it. In answering this question, carefully study the definitions and instructions which follow.

**DEFINITIONS: For the purposes of this question:**

- (a) "Charge" includes any indictment, complaint, information, summons, or other process for the commission of any "offence".
- (b) "Offence" includes all felonies, crimes, disorderly persons' offences, and petty offenses.

**INSTRUCTIONS: 1. Answer "yes" and provide all information to the best of your ability EVEN IF**

- (a) The enterprise did not commit the offence with which it was charged
- (b) The charge was dismissed;
- (c) The enterprise was not convicted, or
- (d) The charges or offences happened a long time ago.

**2. Answer "no" IF the records relating to the charges have been expunged or**

**3. Attach a copy of the expungement order to this application, labelled ITF**

"Has the enterprise, its owners, officers, directors or any of its subsidiaries ever been indicted, charged with or convicted of a crime, disorderly person's offence or been a party or named as an indicted co-conspirator in any criminal proceeding in any other jurisdiction?"

~~No~~  Yes

~~Yes~~  No

SIGNATURE: \_\_\_\_\_

If yes, complete the table below:

NAME OF CASE AND CASE NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT AGENCY OR COURT INVOLVED	O (ACQUITTI DISM

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 22.

SIGNATURE: \_\_\_\_\_

**ITEM 23. TRADE REGULATIONS AND SECURITIES JUDGEMENTS**

Has the enterprise ever had a judgement, consent, decree or consent order pertaining to a violation or alleged violation of trade regulations or securities laws, or similar laws of any country, entered against it?

~~No~~

~~Yes~~

If yes, provide the information in the following tabular form:

NAME AND CASE AND DOCKET NUMBER	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGEMENT, DECREE OR ORDER	DATE ENTERED

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 23.

**ITEM 24. INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER, AGENT OR TRUSTEE**

A. Has the enterprise or its parent company or any affiliated company had any petition under any provision of any Bankruptcy Act or under any state insolvency law filed by or against it in the last ten (10) years?

~~No~~

~~Yes~~

IF YES, PROVIDE DETAILS:

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SIGNATURE: \_\_\_\_\_

B. Has the enterprise or its parent company or any affiliated company sought relief under any provision of any Bankruptcy Act or any state insolvency law in the last ten (10) years?

~~Yes~~

~~No~~

IF YES, PROVIDE DETAILS:

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C. Has any receiver, fiscal agent, trustee, recognition trustee or similar officer been appointed, in the last ten (10) years, by a court for the business or property of the enterprise or its parent, holding affiliate or subsidiary companies?

~~Yes~~

~~No~~

IF YES, COMPLETE THE TABLE BELOW:

NAME OF PERSON APPOINTED	DATE APPOINTED	COURT	REASON

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 24C.

SIGNATURE: \_\_\_\_\_

**ITEM 25. EXISTING LITIGATION**

On an attachment page labelled ITEM 25, describe all existing civil litigation at equity and law to which the enterprise or any subsidiary is currently a party, whether in this Province or in another jurisdiction. Do not include any case for monetary damages in litigation in which the damages may not be expected to exceed R100 000. This description must include the title and case number of the litigation, the name and location of the court where it is pending, the identity of all parties to the litigation, and the general nature of all claims being made.

**ITEM 26. LICENCES**

A. In the last ten (10) years, has the enterprise ever had any licence or certificate issued by a government agency in the Republic of South Africa or any other jurisdiction, denied, suspended or revoked?

Yes

No

IF YES, COMPLETE THE TABLE BELOW:

TYPE OF LICENCE OR CERTIFICATE	NAME AND LOCATION OF GOVERNMENT AGENCY	ACTION TAKEN	DATE	REASON

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 26A.

B. Has the enterprise ever applied, in any jurisdiction, for a licence, permit or other authorisation to participate in lawful gambling operations (including casino gambling, horse racing, dog racing, parimutuel operation, lottery, sports betting etc.).

Yes

No

SIGNATURE: \_\_\_\_\_

If the response to Item 26B is yes, complete the table below:

NAME AND ADDRESS OF LICENSING AGENCY	DATE OF APPLICATION	OUTCOME (GRANTED, DENIED, PENDING)	TYPE OF GAMBLING ACTIVITY	IF ISSUED, GIVE APPROPRIATE NUMBER AND EXPIRY DATE

Should you require additional space, attach a separate sheet in the same tabular format and label it ITEM 26B.

**ITEM 27. CONTRIBUTION AND DISBURSEMENTS OF ENTERPRISE**

A. In the last ten (10) years, has the enterprise, any director, officer, or employee or any third party acting for or on behalf of the enterprise offered any bribes or kickbacks to any employee, company or organisation to obtain favourable treatment?

~~Yes~~

~~No~~

IF YES, PROVIDE DETAILS:

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B. In the last ten (10) years, has the enterprise, any director, officer or employee or any party acting for or on behalf of the enterprise offered any bribes or kickbacks to any government official, either domestic or foreign, to obtain favourable treatment?

~~Yes~~

~~No~~

SIGNATURE: \_\_\_\_\_

IF YES, PROVIDE DETAILS:

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C. In the last ten (10) years, have the enterprise funds been donated or loaned for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?

~~No~~ Yes

~~Yes~~ No

IF YES, PROVIDE DETAILS:

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D. In the last ten (10) years, has the enterprise property been donated or loaned for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?

~~No~~ Yes

~~Yes~~ No

IF YES, PROVIDE DETAILS:

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E. In the last ten (10) years, has the enterprise made or granted any loans, donations or other disbursements to directors, officers or employees for the purpose of reimbursing such individuals for political contributions, either foreign or domestic?

~~No~~ Yes

~~Yes~~ No

SIGNATURE: \_\_\_\_\_

IF YES, PROVIDE DETAILS:

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F. In the last ten (10) years, has the enterprise maintained any bank account or any account in the name of a nominee for the company or close corporation?

Yes

No

IF YES, PROVIDE DETAILS:

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G. In the last ten (10) years, has the enterprise maintained any numbered account or any account in the name of the enterprise?

Yes

No

IF YES, PROVIDE DETAILS:

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H. List the names and addresses of any present or former directors, officers or employees or third parties who would have knowledge or information concerning the questions affirmatively answered under F and G above.

Yes

No

SIGNATURE: \_\_\_\_\_

IF YES, PROVIDE DETAILS:

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**ITEM 28. FINANCIAL STATEMENTS**

- A. Attach, as an appendix to this form, copies of all audited financial statements prepared in the last five (5) years with regard to the enterprise and label them ITEM 28A. Include any holding company exception taken to such statements, and management’s response thereto.
- B. If the enterprise does not normally have its financial statements audited, attach as an appendix to this form all unaudited financial statements prepared in the last five (5) years with regard to the enterprise. (If the enterprise has neither audited nor unaudited financial statements prepared, please note this below this paragraph. Label it ITEM 28B.)

**ITEM 29. ANNUAL REPORTS**

Attach, as an appendix to this form, a copy of all annual reports of the enterprise which have been submitted to shareholders, members or other persons over the last five (5) years.

**ITEM 30. QUARTERLY REPORTS**

Submit, as an appendix to this application, a copy of all quarterly financial statements prepared by or for the enterprise, if any, since the last annual report noted in Item 30.

**ITEM 31. INTERIM REPORTS**

Submit, as an appendix to this form, a copy of all reports prepared following the occurrence of any of the following events: Change in control of the enterprise, acquisition or disposal of assets, bankruptcy or receivership proceedings, changes in the enterprise’s independent auditors, or other material events.

**ITEM 32. REPORTS OF ACCOUNTANTS**

Submit, as an appendix to this form, a copy of all reports and correspondence, other than those previously included in this application, submitted in the last five (5) years by independent auditors for the enterprise, which pertain to the issue of financial statements, managerial advisory services, or internal control recommendations.

SIGNATURE: \_\_\_\_\_

**ITEM 33. ORGANISATIONAL CHART**

Provide as an appendix hereto, an organisational chart of the enterprise which includes position descriptions and the names of persons holding such positions.

**ITEM 34. TAX RETURNS**

Provide, as an appendix to this form, a copy of all tax returns for the last five (5) years. Be sure to include all schedules and attachments for these returns.

**ITEM 35. FINANCIAL STRENGTH**

Applicants shall provide as an appendix hereto:

- A. For all dormant companies, an auditor's confirmation of dormancy or a confirmation that the company is not subject to any undisclosed or contingent liabilities.
- B. Full details of capital commitments of shareholders or members in gambling projects in other provinces in the Republic of South Africa, describing the project, location and consortium members, whether the applicant has been successful or whether the Board's decision is pending, or whether the applicant is considering participating in an application, percentage shareholding, capital structure, sources of funding, etc.

**ITEM 36. FINANCING STRUCTURE AND ACCESS TO CAPITAL RESOURCES**

Applicants shall, as an appendix hereto:

- A. For all companies having a direct holding in the applicant, specify the nature, terms and conditions of all debt financing and details of all other financial commitments, including letters of commitment from financiers for the full debt requirements.
- B. Provide names of contactable representatives of all financiers of the applicant, financially responsible shareholders and members and empowerment vehicles.
- C. Provide the proposed capital structure of applicant.
- D. Specify whether any of the project capital expenditure is based on cash flows from the gambling business, and detail secondary sources of funding if the primary cash flows fail.
- E. Specify and schedule the ultimate source of all funding for the gambling business.
- F. Give comprehensive details of commitments for intended funding or funding of previously disadvantaged individual shareholders' contribution to equity, including sources, terms and conditions relating to it.

SIGNATURE: \_\_\_\_\_

**ITEM 37. SUITABILITY OF GUARANTEES**

Applicants shall as an appendix herein specify whether any party other than financially responsible shareholders or members of the applicant have committed a deposit, line of credit or any other form of guarantee for the financing of the business and detail the terms and conditions of the guarantees, particularly in relation to the control of the applicant.

**ITEM 38. ACCESS TO EXPERTISE AND EXPERIENCE OF APPLICANTS**

Applicants shall provide details of their access to operating expertise in terms of:

- A. Their experience in managing and operating the business of a bookmaker and demonstrate how this experience and expertise will be applied.
- B. The persons (including all directors, executives, principal officers, associates and consultants) associated with the entities who will be responsible for the operation of the bookmaking business.
- C. The relationship between themselves and such persons.
- D. The agreements governing these relationships.
- E. Any consultants contracted to perform specific tasks.
- F. Any proposed commitment or participation in other significant bookmaker operations that have been entered into or are being contemplated over the next two years.
- G. The applicant shall outline in broad terms internal controls planned to be incorporated in the bookmaker operations which shall be consistent with internationally accepted standards. (The Board will adopt certain minimum requirements for internal controls to be incorporated in all bookmaker operations in the Eastern Cape, which shall be consistent with internationally accepted standards.)

**ITEM 39. STRATEGY AND OBJECTIVES**

Applicants shall:

- A. State the overall mission of the bookmaking business and define its business and geographic area of operations.
- B. Describe the projected business environment, including external forces, which are expected to impact upon the business.
- C. State the critical objectives of the bookmaking business and the critical success factors for the achievement of each of the objectives (i.e. activities that need to be performed well to achieve the stated objectives). Also, applicants shall state the significant business risks which threaten the achievement of the stated objectives.

SIGNATURE: \_\_\_\_\_

- D. Describe the corporate strategy adopted to achieve the objectives and the key performance indicators that will be used to monitor the achievement of the critical success factors.

**ITEM 40 SITE LOCATION AND SIZE**

- A. Applicants shall describe the overall design of each of the proposed premises in terms of:
  - i its size and prominence in terms of location and visibility; and
  - ii nearby land uses.
- B. Site and locality plans, including a floorplan of the premises indicating those portions on which bookmaker activities shall be conducted and which need to be licenced, shall be provided.
- C. Plans or maps showing how the bookmaking business fits into surrounding areas are to be provided.
- D. Details shall be provided regarding the current ownership of the premises. Evidence of pre-contracts, guarantees or options regarding site availability shall be provided, together with any potential legal (e.g. potential land restitution claims) or physical impediments to site occupation and development.
- E. A copy shall be provided of any lease agreements for the lease of the premises.
- F. Details of any persons having a financial interest of 5% or more in the lessor shall be provided.
- G. The current status of negotiations in respect of land and/or buildings, if applicable, shall be disclosed.
- H. Local authority comments on land rights shall be provided.
- I. The Board’s development and design objectives for bookmakers will best be achieved by premises that provide for all facilities to be of a quality standard sufficient to satisfy the expectations of local citizens. This would include adequate public facilities.
- J. Applicants should summarise how their bookmaking business on those premises is likely to impact on the surrounding neighbourhood environment and land uses.

**ITEM 41. AFFIDAVITS AND SIGNATURES**

Pursuant to the regulations of the Board, this form must be sworn to or affirmed, signed and dated before a person legally competent to take an oath or affirmation, who must himself or herself date the signature of the deposer and indicate the basis of his or her authority to take oaths and affirmations.

Each of the following persons must complete an affidavit attesting to the truth of the information in this form:

SIGNATURE: \_\_\_\_\_

- A. If the enterprise is a company, the president or any officer so authorised to attest.
- B. If the enterprise is a close corporation any member so authorised to attest.

Each affidavit required must be identical to the model which appears on the next page of this form.

SIGNATURE: \_\_\_\_\_

**AFFIDAVIT**

PROVINCE/STATE OF \_\_\_\_\_

COUNTRY OF \_\_\_\_\_

I, \_\_\_\_\_, hereby

acknowledge that I am aware that the Board may deny a registration to any applicant that supplies information to the Board which is untrue or misleading as to a material fact pertaining to the qualification criteria.

Further I, \_\_\_\_\_, hereby  
(NAME)

swear (or affirm) that the foregoing statements made by me on behalf of

\_\_\_\_\_ are true.  
(NAME OF ENTERPRISE)

I am aware that if any of the foregoing statements made by me are wilfully false, I will be subject to the penalty attendant upon perjury.

\_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
(TYPE, STAMP OR PRINT NAME)

\_\_\_\_\_  
TITLE OR POSITION

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

\_\_\_\_\_  
NOTARY

\_\_\_\_\_  
Seal of Authority of Notary

SIGNATURE: \_\_\_\_\_

**ITEM 42. RELEASE AUTHORISATION - NOTICE**

Each enterprise must have the attached “Release Authorisation” properly signed, dated and notarised.

The attached “Notice” must also be acknowledged by a proper signature and date.

Each document must be signed by the following person.

- A. If the enterprise is a company, the president or any officer authorised to execute such a document and bind the company.
- B. If the enterprise is a close corporation any member authorised to execute such a document and bind the enterprise.

A copy of the resolution by the company or close corporation authorising the person referred to above to sign on its behalf must be annexed hereto.

SIGNATURE: \_\_\_\_\_

**RELEASE AUTHORISATION**

To All Courts, Probation Departments, Selective Service Boards, Employers, Education Institutions, Banks, Financial and other Such Institutions, and all Government Agencies - State, Provincial and Local, without exception, both foreign and domestic.

On behalf of \_\_\_\_\_

I, \_\_\_\_\_, have

authorised the Eastern Cape Gambling and Betting Board to conduct a full investigation into the background of the said enterprise.

Therefore, you are hereby authorised to release any and all information pertaining to that enterprise, either documentary or otherwise, as requested by any employee or agent of the Eastern Cape Gambling and Betting Board, provided that he or she certifies to you that enterprise has an application pending before the Eastern Cape Gambling and Betting Board or that that enterprise is currently a licence holder or registrant required to be qualified under a provision of the Gambling and Betting Act, 1997 (Act No. 5 of 1997)(Eastern Cape), or has an application pending before the Eastern Cape Gambling and Betting Board.

This authorisation supersedes or countermands any prior request or authorisation to the contrary.

A certified photostat copy of this authorisation will be considered as to be effective and valid as the original.

\_\_\_\_\_  
DATE

\_\_\_\_\_  
SIGNATURE

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_, 20 \_\_\_\_\_

\_\_\_\_\_  
NOTARY PUBLIC

SIGNATURE: \_\_\_\_\_

**ITEM 43. GUARANTEE**

Each holder of a bookmaker licence shall, before commencing or continuing to carry on the business of a bookmaker, deposit or lodge or cause to be deposited or lodged with the Eastern Cape Gambling and Betting Board as security for payment of all taxes, fees and bookmakers commitments due or which may become due under the Act a sum of money, not being less than R50 000 or security in like like value in the form of a properly signed, dated and notarised guarantee or surety agreement in favour of the Eastern Cape Gambling and Betting Board issued by a financial institution registered in terms of the banking or insurance laws of the Republic.

SIGNATURE: \_\_\_\_\_

**NOTICE**

1. Information supplied to the Board or otherwise obtained by it is confidential and may not be revealed, except in the course of administrating the Gambling and Betting Act, 1997 (Act No. 5 of 1997) (Eastern Cape) or upon the lawful order of a court of competent jurisdiction. Nevertheless, an applicant or a licence holder or registrant exempts the Eastern Cape Gambling and Betting Board and its instrumentality and agents from liability for any damages resulting from any disclosure or publication in any manner.
2. An applicant for, or holder of, a licence or registration under the Gambling and Betting Act, 1997 (Act No. 5 of 1997)(Eastern Cape), is subject to inspections, searches and seizures as authorised by the Act and by the Eastern Cape Gambling and Betting Regulations. More specifically, section 4(1) of the Act empowers the Board to gather information from any source or person regarding the suitability of the applicant to hold a licence or be registered and the suitability of the premises in respect of which the application has been made.
3. In terms of Regulation 13 of the Eastern Cape Gambling and Betting Regulations, 1998, any person who submits an application to the board for registration shall be liable for and pay to the board all expenses incurred by the board in investigating the applicant :  
Provided that in the case of employee registrations, the employer shall pay the investigating expenditure but the employee will be liable to the employer for one half of the expenses should he leave the employ of the employer within six (6) months.

Receipt of notice acknowledged on behalf of:

\_\_\_\_\_  
(NAME OF ENTERPRISE)

\_\_\_\_\_  
DATE

BY:

\_\_\_\_\_  
(TYPE OR PRINT NAME)

\_\_\_\_\_  
SIGNATURE

\_\_\_\_\_  
(TITLE OR POSITION HELD)

SIGNATURE: \_\_\_\_\_

