EASTERN CAPE
GAMBLING AND BETTING BOARD

BUSINESS HISTORY DISCLOSURE FORM

SIGNATURE: _____

Business History Disclosure

1. This application shall be completed by any non-natural person who holds or intends to hold an interest of 5% or more in the applicant, licensee or registrant or is otherwise invited to file an application by the Eastern Cape Gambling and Betting Board.
2. Please read every question carefully before answering. Answer every question in full. If you fail to answer any questions or give incomplete answers, this form may be returned.
3. If a question does not apply to you, write N/A (for 'Not Applicable') in the space provided. If there is nothing to disclose about a particular question, write 'None' in the space provided.
4. All entries on this form, except initials or signatures, must be typed and neatly printed in black ink. On completion, each page of this form must be signed in full in the space provided at the bottom of that page.
5. Sign each page of this form in the space provided, once you have checked the answers and are sure they are complete and correct.
6. If you need additional space to answer any question, please use the blank pages provided at the end of this form. Be sure to indicate the number of the question you are answering on the attachment page.
7. You must immediately notify the Eastern Cape Gambling and Betting Board of any change of address. All notices regarding this application will be sent to the address which you provide on this form.
8. Any reference in this form to 'the Company' shall be deemed to be a reference to the enterprise, company, close corporation or trust in respect of which this form is completed and any reference to "the applicant" shall be deemed to be a reference to an applicant for a licence or registration in which the company holds or intends to hold a direct or indirect financial interest of 5% or more.
9. One copy of this form and the annexures thereto must be submitted with the original.

IMPORTANT NOTICE

1. You must immediately notify the Eastern Cape Gambling and Betting Board of any change of address. All notices regarding this application will be sent to the address that you provide on this form.
2. Any person who applies to the Board for identification as a qualifier and who is identified as such is required to submit to searches without a warrant when present on a licensed gambling facility pursuant to section 76(1) of the Eastern Cape Gambling and Betting Act, 1997 (Act No. 5 of 1997) ("the Act").
3. Information supplied to the Board, or otherwise obtained by it, is confidential and may not be revealed except, in the course of administrating the Act, upon the lawful order of a court of competent jurisdiction. Nevertheless, an applicant or a licence holder waives any liability of the Eastern Cape Gambling and Betting Board, its instrumentalities and its agents, for any damages resulting from any disclosure or publication in any manner, other than a wilfully unlawful disclosure or publication, pursuant to section 76 of the Eastern Cape Gambling and Betting Act

SIGNATURE: _____

SECTION A

FULL NAME OF COMPANY	REGISTRATION NO	JURISDICTION IN WHICH REGISTERED

TRADE NAME(S)

PRINCIPAL ACTIVITIES OF COMPANY

Persons to be contacted with reference to these forms:

NAME	
TITLE	TELEPHONE NO (include area code)

The principal business of the company:

STREET LOCATION (Number/Street)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO OF THIS LOCATION (include area code)		
MAILING ADDRESS (if different)	CITY	PROVINCE/STATE	POSTAL CODE

The registered office of the company:

STREET LOCATION (Number/Street)	CITY	PROVINCE/STATE	POSTAL CODE
COUNTRY	TELEPHONE NO OF THIS LOCATION (include area code)		

SIGNATURE: _____

ITEM 1. OTHER NAMES AND ADDRESSES OF THE COMPANY

A. List all other names under which the company has done business, and give approximate periods of time during which such names were in use.

_____
_____
_____

B. State all other addresses currently occupied / held by the company and all addresses from which the company is currently doing business.

NUMBER & STREET	CITY	PROVINCE	POSTAL CODE

C. State all addresses, other than those listed above, which the company occupied/held or from which it was conducting business during the last ten (10) years, and give the approximate periods of time during which such addresses were occupied/held.

NUMBER & STREET	CITY	PROVINCE	POSTAL CODE	FROM	TO

SIGNATURE: _____

ITEM 2. DESCRIPTION OF COMPANY

A. Specify the business form of this company

_____
_____
_____

B. Submit a copy of the memorandum and articles of association, certificate of incorporation and all amendments. Particulars of directors (CM29), the charter, by-laws, partnership agreements, trust agreements and shareholders agreements, including all amendments thereto.

ITEM 3. DESCRIPTION OF PRESENT BUSINESS

Describe the business done and intended to be done by the company and its parent, holding, subsidiary and intermediary companies and the general development of that business during the last five (5) years, or the shorter period as the corporation or its parent, subsidiary and intermediary companies may have been engaged in business. This description must include information on matters such as the following:

A. Competitive conditions in the industry or industries involved and the competitive position of the company, if known.

B. The principal goods and services provided by the company and its holding, subsidiary, intermediary and associated companies, the principal markets for those products or services and the methods of distribution.

C. The sources of availability of resources essential to the business of the company.

D. The importance to the business and the duration and effect of all material patents, trademarks, licences, franchises and concessions held.

E. This description must contain a detailed account of the goods or services being provided, or to be provided, to the industry.

ITEM 4. DESCRIPTION OF FORMER BUSINESS

Describe any former business not listed in response to ITEM 3, which the company or any parent, intermediary or subsidiary company engaged in during the last ten (10) years, and the reasons for the cessation of such business. Also indicate the approximate period of time during which each such business was conducted.

SIGNATURE: _____

ITEM 5. SHARES/STOCK DESCRIPTION (COMPANY)

Describe the nature, type, terms, conditions, rights and privileges of all classes of voting, non-voting and other shares/stock issued, or to be issued, by the company, including the number of shares/stock of each class of shares/stock authorised as on this date.

ORDINARY SHARES	PAR VALUE	NUMBER
- Authorised	R	
- Issued	R	

PREFERENCE SHARES	PAR VALUE	NUMBER
- Authorised	R	
- Issued	R	

OTHER (including options)

VOTING RIGHTS

_____

_____

_____

_____

SIGNATURE: _____

If the rights of holders of any class of shares/stock may be modified other than by a vote, indicate this and explain briefly.

_____

_____

_____

_____

_____

_____

ITEM 6. NON-VOTING SHAREHOLDERS

Furnish the information called for in the table below, in respect of each person or entity holding or having a beneficial interest in any non-voting shares issued by the corporation.

NAME AND ADDRESS OF SHARE-HOLDER	IDENTITY NUMBER/ PASSPORT NUMBER/ COMPANY REGISTRATION NUMBER	DATE OF BIRTH	CLASS OF NON-VOTING SHARES HELD	NUMBER OF SHARES HELD	PERCENTAGE OF OUTSTANDING VOTING SHARES

This information must be provided as on a date no more than thirty (30) days prior to the date of completion of this form.

SIGNATURE: _____

ITEM 7. QUALIFIERS

Please indicate all persons or entities in your enterprise that correspond to the sub-items listed below. If any of sub-items A to E do not apply, please indicate N/A (for "Not applicable") directly on this form.

NOTE 1: A PERSONAL HISTORY DISCLOSURE FORM (FORM LA 2) MUST BE COMPLETED BY EVERY PERSON NOTED IN SUB-ITEMS A TO C.

NOTE 2: A PERSONAL HISTORY DISCLOSURE FORM (FORM LA 2) MUST BE COMPLETED BY EVERY PERSON NOTED IN SUB-ITEM D.

NOTE 3: A PERSONAL HISTORY DISCLOSURE FORM OR BUSINESS HISTORY DISCLOSURE FORM (FORM LA 3) MUST IN ADDITION BE COMPLETED BY EVERY PERSON LISTED IN SUB-ITEM E IF SUCH PERSON DOES NOT FALL INTO SUB-ITEMS A TO D.

NOTE 4: THE BOARD MAY, AT ITS DISCRETION, ORDER ADDITIONAL PERSONS ASSOCIATED WITH THE ENTERPRISE TO FILE THE APPROPRIATE DISCLOSURE FORM IF IT APPEARS THAT SUCH PERSON SHOULD BE QUALIFIED IN ORDER TO CARRY INTO EFFECT THE PURPOSES OF THE EASTERN CAPE GAMBLING AND BETTING ACT AND THE REGULATIONS THERETO.

NOTE 5: IT IS NOT NECESSARY FOR PERSONS REFERRED TO IN NOTE 2 WHO ALSO FALL INTO NOTE 3 TO COMPLETE TWO SETS OF FORMS.

NOTE 6: WHERE APPLICATIONS FOR REGISTRATION OF KEY PERSONS OR GAMBLING EMPLOYEES ACCOMPANY THIS APPLICATION-

(A) PERSONS SET OUT IN SUB-ITEMS A TO C SHALL APPLY FOR REGISTRATION AS KEY PERSONS.

(B) PERSONS SET OUT IN SUB-ITEM D SHALL APPLY FOR REGISTRATION AS GAMBLING EMPLOYEES.

(C) IT SHALL NOT BE NECESSARY FOR PERSONS REGISTERED AS KEY PERSONS TO SIMULTANEOUSLY BE REGISTERED AS GAMBLING EMPLOYEES.

(D) AN APPLICANT FOR REGISTRATION AS A KEY PERSON OF GAMBLING EMPLOYEE SHALL ONLY NEED TO FILL IN ONE PERSONAL HISTORY APPLICATION FORM, I.E. THAT ACCOMPANYING HIS OR HER APPLICATION FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE.

SIGNATURE: _____

NOTE 7: WHERE APPLICATIONS FOR REGISTRATION AS KEY PERSONS OR GAMBLING EMPLOYEES BY PERSONS REFERRED TO IN SUB-ITEMS A TO D DO NOT ACCOMPANY THIS APPLICATION, EACH APPLICANT FOR REGISTRATION AS A KEY PERSON OR GAMBLING EMPLOYEE SHALL SUBMIT A SECOND PERSONAL HISTORY DISCLOSURE FORM WITH HIS OR HER APPLICATION FOR SUCH REGISTRATION.

A. All officers of the enterprise.

B. All directors or trustees of the enterprise.

C. In the case of a Close Corporation, all members of such Close Corporation.

D. All employees of the enterprise who will accept bets from members of the public.

E. All beneficial owners of 5% or more of the outstanding voting and non-voting securities of the enterprise, whether such owners are themselves legal or natural persons.

NOTE 8: IF AN ENTERPRISE IS LISTED AS AN OWNER IN SUB-ITEM E ABOVE, THE ENTERPRISE MUST COMPLETE A SEPARATE BUSINESS ENTITY DISCLOSURE FORM

SIGNATURE: _____

For every person or entity noted in SUB-ITEMS 7A to C and 7E above, please provide the information requested in the following form:

NAME	DATE OF BIRTH	HOME ADDRESS	TITLE, POSITION OR ASSOCIATION WITH THE ENTERPRISE	% OF OWNERSHIP (**)

** Include number of share held and class of stock, if applicable.

Should you require additional space, attach a separate sheet in the same tabular format and label it SUB-ITEM 7A, B, etc.

ITEM 8. OUTLINE OF OWNERSHIP

If item 7 notes **any company** as holding any shares, holding a partnership interest or holding any other ownership interest in the company, prepare a flowchart which illustrates the fully diluted ownership of the company as an attachment. List all natural persons, who each hold a direct or indirect financial interest of 5% (five percent) or more in the company. If the ultimate holding company is publicly traded and no natural person controls more than 5% (five percent) of the publicly traded shares, indicate this fact in a footnote to the flowchart.

ITEM 9. FORMER OFFICERS AND DIRECTORS

SIGNATURE: _____

Furnish the information below for each person not listed in response to item 7, who has held a position as an officer or a director of the company over the last ten (10) years:

NAME & ID NUMBER/ PASSPORT NUMBER	NATIONA-LITY	DATE OF BIRTH	EMPLOYED BY	LAST KNOWN ADDRESS	POSITION AND DATES HELD	REASON FOR LEAVING

SIGNATURE: _____

ITEM 10. REMUNERATION OF OFFICERS, DIRECTORS AND PARTNERS

List the total annual remuneration received during the last financial year, and the amount to be received during the financial year subsequent thereto, by each director, trustee, officer or partner of the company, whether such remuneration is in the form of salary, wages, commissions, fees, share options, bonuses or otherwise.

NAME	IDENTITY NUMBER/ PASSPORT NUMBER	NATIONALITY	POSITION HELD WITH THE COMPANY	AMOUNT OF REMUNERATION

SIGNATURE: _____

ITEM 11. REMUNERATION OVER R150 000

Furnish the information indicated below for each Person, other than those listed in response to item 7, who currently receives or who can be reasonably expected to receive from the company, within one calendar year from the date of this form, gross remuneration as described in item 10, exceeding **R150 000** per annum. This applies only to those entities having a direct shareholding in the applicant or having the ability to exert significant influence over the applicant.

NAME	DATE OF BIRTH	HOME ADDRESS	POSITION AND PERIOD OF TIME WITH THE COMPANY	AMOUNT OF REMUNER-ATION

SIGNATURE: _____

ITEM 12. BONUSES, PROFIT-SHARING, RETIREMENT, DEFERRED REMUNERATION AND SIMILAR PLANS

Describe all existing bonuses, profit-sharing, pension, retirement, deferred remuneration and similar plans, or those to be created by the company. The description must include, but not be limited to:

A. Title or name of the plan.

B. Identify and address of the trustee of the plan or the person administering such plan.

C. Material features of the plan.

D. Methods of financing the plan.

E. Identity of each class of person who is participating or will participate in the plan.

F. Approximate number of persons in each class.

G. Amounts distributed under the plan to each class of persons during the last fiscal year, if the plan was in effect during that period of time.

ITEM 13. SECURITIES OPTIONS

ITEM 13(i)

Describe in detail any options existing or to be created in respect of securities issued by the company. The description must include, but is not limited to, the title and amount of securities subject to option, the year or years during which the options were or will be granted, the conditions under which the options were or will be granted, the consideration for granting the option and the year or years, the terms under which option holders became, or will become, entitled to exercise the options, and when such options expire.

* For the purposes of this application, ‘option’ means right, warrant or option to subscribe to or purchase any securities issued by the corporation.

SIGNATURE: _____

ITEM 13(ii)

Identify all persons holding the options described in item 13(i) and include the market value of the option at the time of issue.

ITEM 14. DESCRIPTION OF LONG-TERM DEBT

Describe the nature, and terms and conditions, of all outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness issued or executed (including loans made by shareholders), or to be issued or executed by the company, which mature more than one (1) year from the date of issue or which, according to their terms, are renewable for a period of more than one (1) year from the date of issue.

SIGNATURE: _____

ITEM 15. HOLDERS OF LONG-TERM DEBT

Furnish the information indicated in the table below for each person or entity holding any outstanding bonds, loans, mortgages, trust deeds, notes, debentures or other forms of indebtedness executed or issued by the company, which mature more than one (1) year from the date of issue or which, according to their terms, are renewable for a period of more than one (1) year from the date of issue.

NAME AND ADDRESS	ID NO/ PASSPORT NO	DATE OF BIRTH	NATIO-NALITY	TYPE AND CLASS OF DEBT INSTRU-MENT HELD	INTEREST RATE	AMOUNT IN RANDS OF DEBT HELD (Both original amount and current balance)	COMMENT IF NOT GIVEN ON USUAL COMMER-CIAL TERMS

ITEM 16. OTHER INDEBTEDNESS AND SECURITY DEVICES

Describe the nature, type and terms and conditions of all outstanding loans, mortgages, trust deeds, pledges, lines of credit, or other evidence of indebtedness or security devices utilised by the company, other than those described in response to items 14 and 15.

SIGNATURE: _____

ITEM 17. HOLDERS OF INDEBTEDNESS

Furnish the information indicated in the table below in respect of each holder of any outstanding loan, mortgage, trust deed, pledge or any other evidence of indebtedness or security device described in response to Item 16.

NAME AND ADDRESS	ID NO/ PASSPORT NO	DATE OF BIRTH	NATIONA-LITY	TYPE AND CLASS OF DEBT INSTRUMENT HELD	INTEREST RATE	AMOUNT IN RANDS OF DEBT HELD (Both original amount and current balance)	COMMENT IF NOT GIVEN ON USUAL COMMERCIAL TERMS

ITEM 18. FINANCIAL INSTITUTIONS

Furnish the information indicated in the table below in respect of each bank, savings and loan association or other financial institution, whether domestic or foreign, in which the company has or has had an account over the last ten (10) years, regardless of whether such account was held in the name of the company or a nominee of the company or was otherwise under the direct or indirect control of the company.

NAME AND PHYSICAL ADDRESS OF FINANCIAL INSTITUTION	TYPE OF ACCOUNT(S)	ACCOUNT NUMBER	PERIOD OF TIME ACCOUNT HELD (FROM/TO)

SIGNATURE: _____

ITEM 19. CONTRACTS AND SUPPLIERS

Provide the information indicated in the table below in respect of all persons with whom the company has contracts or agreements valued at R50 000 or more, or from whom the company has received R50 000 or more in goods or services in the past six months.

Employment contracts need only be listed if, according to their terms, they exceed one (1) year's duration.

NAME OF CONTRATOR/ SUPPLIER	ADDRESS	NATURE OF CONTRACT OR GOODS OR SERVICES SUPPLIED	VALUE OF CONTRACT

ITEM 20(a)

Provide the information indicated in the table below in respect of each company in which the company holds shares:

COMPANY REG. NO	NAME AND ADDRESS OF COMPANY	TYPE OF SHARE HELD	PURCHASE PRICE PER SHARE	NUMBER OF SHARES HELD	% OF OWNERSHIP EXCEEDING 5%	NUMBER OF DIRECTORS ON THE BOARD OF COMPANY

SIGNATURE: _____

ITEM 20(b). BUSINESSES IN WHICH THE COMPANY HAS ANY OTHER INTERESTS

SIGNATURE: _____

ITEM 21. INSIDER TRANSACTIONS

Furnish the information indicated in the table below for each change, within the last five (5) years preceding this application, in the beneficial ownership of the equity securities of the company on the part of any person who is indirectly or directly a beneficial owner of more than 5% of any class of an equity security of the company, or who is, or was, a director or an official of the corporation within that period. (Include changes resulting from (a) a gift, (b) a purchase, (c) a sale, (d) the exercise of an option to purchase, (e) the exercise of an option to sell, or (f) another transaction, e.g. forfeiture).

DATE OF TRANSACTION	NATURE OF TRANSACTION	PARTIES TO TRANSACTION	NUMBER OF SHARES INVOLVED

SIGNATURE: _____

ITEM 22. CRIMINAL AND RELATED HISTORY

The next question requests information about any offences the company may have committed or charges against it. Prior to answering this question, carefully study the definitions and instructions which follow.

DEFINITIONS: For the purposes of this question:

(a) 'Charge' includes any indictment, complaint, information, summons, or other notice relating to the alleged commission of any 'offence'.

(b) 'Offence' includes all felonies, crimes, disorderly persons, offences, and petty disorderly offences.

INSTRUCTIONS:

1. Answer 'yes' and provide all information to the best of your knowledge, even if:

(a) the company did not commit the offence with which it was charged.
(b) the charge was dismissed.
(c) the company was not convicted, or
(d) the charges or offences happened a long time ago.

2. Answer 'no' IF the records relating to the charges have been expunged by court order.

3. Attach a copy of the expungement order to this application.

Has the company, its owners, officers, directors or any of its subsidiaries in the last 10 years, ever been subjected to any official enquiry?

☐ Yes

☐ No

If yes, provide details:

SIGNATURE: _____

Has the company, its owners, officers, directors or any of its subsidiaries ever been indicted, charged with or convicted of a criminal or disorderly persons offence or been a party or named as an indicated co-accused or co-conspirator in any criminal proceeding in the Republic of South Africa or any other jurisdiction?

☐ Yes

☐ No

If yes, complete the table below:

NAME OF CASE AND CASE NUMBER	NATURE OF CHARGE OR COMPLAINT	DATE OF CHARGE OR COMPLAINT	NAME AND ADDRESS OF LAW ENFORCEMENT OR COURT INVOLVED	OUTCOME (ACQUITTED), CONVICTED, DISMISSED, ETC.)	SENTENCE

SIGNATURE: _____

ITEM 23. TRADE REGULATIONS AND SECURITIES JUDGEMENTS

Has the company ever had a judgement, consent, decree or consent order pertaining to a violation or alleged violation of trade regulations or securities laws, or similar laws of any country, entered against it?

☐ Yes

☐ No

If yes, provide the information in the following tabular form:

NAME OF CASE AND DOCKET NO	NAME AND ADDRESS OF COURT OR AGENCY	NATURE OF JUDGEMENT, DECREE OR ORDER	DATE ENTERED

SIGNATURE: _____

ITEM 24. INSOLVENCY PROCEEDINGS AND APPOINTED RECEIVER, AGENT OR TRUSTEE

A. Has the company or its parent company or any affiliated company had any application or petition under any insolvency or bankruptcy act or under any insolvency law filed by or against it in the last ten (10) years?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS:

B. Has the company or its parent company or any affiliated company sought relief under any provision of any insolvency or bankruptcy act or any insolvency law in the last ten (10) years?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS:

_____

_____

_____

_____

SIGNATURE: _____

C. Has any judicial manager, receiver, fiscal agent, trustee, curator, or similar officer been appointed, in the last ten (10) years, by a court for the business or property of the company or its parent, holding, affiliate or subsidiary companies?

☐ Yes

☐ No

If the response to Item 24C is yes, complete the table below.

NAME OF PERSON APPOINTED	DATE APPOINTED	COURT	REASON

ITEM 25. EXISTING LITIGATION

Describe all existing civil litigation at equity and law to which the company or any subsidiary is currently a party, whether in this Province or in another jurisdiction. Do not include any cases for monetary damages in litigation in which the damages may not be expected to exceed R100 000. This description must include the title and case number of the litigation, the name and location of the court where it is pending, the identity of all parties to the litigation, and the general nature of all claims being made.

SIGNATURE: _____

ITEM 26. LICENCES

A. In the last ten (10) years, has the company ever had any licence or certificate issued by a government agency in the Republic of South Africa or any other jurisdiction, denied, suspended or revoked?

☐ Yes

☐ No

If the response to item 26A is yes, complete the table below.

TYPE OF LICENCE OR CERTIFICATE	NAME AND LOCATION OF GOVERNMENT AGENCY	ACTION TAKEN	DATE	REASON

B. Has the company ever applied, in any jurisdiction, for a licence, permit or other authorisation to participate in lawful gambling operations (including casino gambling, horse racing, dog racing, pari-mutuel operation, lottery, sports betting, etc.)?

☐ Yes

☐ No

NAME AND ADDRESS OF LICENCING AGENCY	DATE OF APPLICATION	OUTCOME (GRANTED, DENIED, PENDING)	TYPE OF GAMBLING ACTIVITY	IF ISSUED, GIVE APPROPRIATE NUMBER AND EXPIRY DATE

SIGNATURE: _____

ITEM 27. CONTRIBUTION AND DISBURSEMENTS OF COMPANY

A. In the last ten (10) years, has the company, any director, officer, partner, or employee or any third party acting for on behalf of the company offered or been alleged to have offered any bribes or kickbacks to any employee, company or organisation to obtain favourable treatment?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

_____

_____

_____

B. In the last ten (10) years, has the company, any director, officer, partner or employee or any party acting for or on behalf of the company offered or been alleged to have offered any bribes or kickbacks to any government official, either domestic or foreign, to obtain favourable treatment?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

_____

_____

_____

_____

SIGNATURE: _____

C. In the last ten (10) years, have company funds been donated or loaned for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

_____

_____

_____

A. In the last ten (10) years, has company property been donated or loaned for the purpose of opposing or supporting any government, political party, candidate or committee, either domestic or foreign?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

_____

_____

_____

SIGNATURE: _____

B. In the last ten (10) years, has the company made or granted any loans, donations or other disbursements to directors, officers, partners, or employees for the purpose of reimbursing such individuals for political contributions, either foreign or domestic?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

_____
_____
_____

C. In the last ten (10) years, has the company maintained any bank or numbered account or any account in the name of a nominee for the company?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

_____
_____
_____

G. In the last ten (10) years, has the company maintained any bank or numbered account as a nominee for another corporation?

☐ Yes

☐ No

IF YES, PROVIDE DETAILS.

SIGNATURE: _____

H. List the names and addresses of any present or former directors, officers, partners or employees or third parties who would have knowledge or information concerning the questions affirmatively answered under F. and G. above.

ITEM 28. FINANCIAL STATEMENTS

A. Attach, as an appendix to this form, copies of all audited financial statements prepared in the last three (3) years with regard to the company.

B. If the company does not normally have its financial statements audited, attach as an appendix to this form all unaudited financial statements prepared in the last five (5) years with regard to the company. (If the company has neither audited nor unaudited financial statements prepared, please note this in the space below this paragraph).

ITEM 29. ANNUAL REPORTS

Attach, as an appendix to this form, a copy of all annual reports of the company which have been submitted to shareholders, partners or other persons over the last five (5) years.

ITEM 30. QUARTERLY REPORTS

Submit, as an appendix to this application, a copy of all quarterly financial statements prepared by or for the company, if any, since the last annual report noted in Item 29.

ITEM 31. ITERIM REPORTS

Submit, as an appendix to this form, a copy of all reports prepared following the occurrence of any of the following events: Change in control of the company, acquisition or disposal of assets, insolvency, bankruptcy or receivership proceedings, changes in the company's external auditors, or other material events.

SIGNATURE: _____

ITEM 32. REPORTS OF ACCOUNTANTS AND/OR EXTERNAL AUDITORS

Submit, as an appendix to this form, a copy of all reports and correspondence, other than those previously included in this application, submitted in the last five (5) years by external auditors for the company, which pertain to the issue of financial statements, managerial advisory services, or internal control recommendations.

ITEM 33. ORGANISATIONAL CHART

Provide as an appendix hereto, an organisational chart of the company which includes management position descriptions and the names of persons holding such positions, including all governance structures, (e.g. audit committee).

ITEM 34. TAX RETURNS

Provide, as an appendix to this form, a copy of all tax returns for the last five (5) tax years.

SIGNATURE: _____

AUTHORISATION FOR EXAMINATION AND RELEASE OF INFORMATION

('Company' includes any corporate body, trust or partnership)

TO : All courts, banks, financial and other institutions, credit bureaux, law enforcement agencies, tax authorities, all government agencies, without exception, both foreign and domestic and to whomsoever else this authorisation may be duly presented.

FROM : _____

Name Of Company

_____

Registration Number

_____

Contact Person

_____

Telephone No Cell Phone No

As a requirement of being involved in an application for a gambling licence in the Eastern Cape, South Africa, the abovenamed company agrees to allow the agents and the employees of the Eastern Cape Gambling and Betting Board ('the Board') and the South African Police Services to conduct a full investigation into the background of the company.

The company HEREBY AUTHORISES the Chief Executive Officer of the Board and the Eastern Cape Regional Commissioner of Police ('the Commissioner') or any person authorised by an original letter of authority signed by either of them ('an authorised delegate') to make such enquiries as they deem necessary, and to have access to, inspect and obtain copies of:

(a) any credit report, other report, legal or commercial information derived from those reports that has any bearing on the company's credit worthiness, credit history, credit standing or credit capacity;

(b) any loan information, bank account records, safe deposit box records and bank statements pertaining to the company;

SIGNATURE: _____

(c) any records relating to investigations of the operations or activities of the company conducted by any domestic or foreign police force, crime investigation agency, corporate regulatory agency or any gambling regulatory body;

(d) any court records relating to any present or past civil or criminal court proceedings to which the company is party, or accused respectively; and

(e) any other document, record or correspondence pertaining to the company.

You are HEREBY AUTHORISED to release to the Chief Executive Officer of the Board or the Commissioner or an authorised delegate, all information requested by any of them, documentary or otherwise, pertaining to the company.

_____

SIGNATURE OF AUTHORISED REPRESENTATIVE

This authorisation shall supersede and countermand any prior request or authorisation to the contrary. A certified photocopy of this authorisation will be considered as effective and as valid as the original.

SIGNED AT _____ ON THIS THE _____DAY OF

_____20_____.

_____ _____

CHAIRMAN **SECRETARY**

SIGNATURE: _____

AFFIDAVIT

I, _____, do hereby make oath and say that:

1. I am duly authorised to make this declaration on behalf of

   _____.

2. I am aware that the Board may refuse a licence to any applicant that supplies information to the Board which is untrue or misleading as to a material fact pertaining to the qualification criteria.

3. The particulars contained herein are to the best of my knowledge and belief true and correct in every detail, and I have fully disclosed the information required in completing this form.

_____
SIGNATURE OF DEPONENT

I certify that:

The deponent has acknowledged that:

1. He/she knows and understand the contents of this declaration;

2. He/she has no objection to taking the prescribed oath; and

3. He/she considers the prescribed oath to be binding on his/her conscience.

This declaration was sworn to/affirmed* before me at _____ on this _____day of _____, 20_____.

_____
COMMISSIONER OF OATHS

* Delete what is not applicable

Note : This affidavit must be accompanied by a Board resolution authorising the signatory to execute same.

SIGNATURE: _____

ATTACHMENT PAGE

SIGNATURE: _____

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